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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. DEPARTMENT OF U.S. DEPART **Application Number** 10/028,288-Conf. #2960 Filing Date **TRANSMITTAL** December 28, 2001 First Named Inventor **FORM** James A. Tatum Art Unit 2814 (to be used for all correspondence after initial filing) **Examiner Name** W. S. Louie **Attorney Docket Number** 1 Total Number of Pages in This Submission H0002312 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) **Status Letter** Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): **Express Abandonment Request** Request for Reconsideration Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

MCKENNA LONG & ALDRIDGE LLP
Song K. Jung

Math A. Kusfaft #2166

February 23, 2004



Docket No.: H0002312

(Old Docket No.: V637-02312-US)

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

James A. Tatum, et al Confirmation No.: 2960

Application No.: 10/028,288 Group Art Unit: 2814

Filed: December 28, 2001 Examiner: W. Louie

For: VCSEL AND DETECTOR ARRAY

PACKAGING

REQUEST FOR RECONSIDERATION

Mail Stop AF P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated November 24, 2003 and in response to an interview held on February 2, 2004, the following remarks are respectfully submitted.

Remarks/Arguments begin on page 2 of this paper.

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REMARKS

At the outset, Applicants thank the Examiner for the courtesies that were extended to the Applicants' representatives during the interview held on February 2, 2004.

In the Final Office Action, the Examiner rejected claims 1-22 under 35 U.S.C. § 103(a) as being allegedly unpatentable over Chun et al. (U.S. Patent No. 5,625,732) in view of Okazaki et al. (U.S. Patent No. 5,298,768). This rejection is respectfully traversed and reconsideration is requested.

Applicants respectfully submit that claim 1 is patentable over Chun et al. in view of Okazaki et al. in that claim 1 recites a combination of elements including, for example, "a submount having ... a plurality of conductive vias that pass through a body of the submount" and "electrical contacts of the semiconductor elements are electrically connected to said conductive vias." Neither Chun et al. nor Okazaki et al., singly or in combination, teach or suggest these features.

Nevertheless, in rejecting independent claim 1, the Examiner states that Chun et al. teaches these features because it discloses "a plurality of conductive vias 221 and 226 that pass through a body of the submount 201 (fig. 2)," and because it discloses "the semiconductor elements 107, 116, and 124 are electrically connected to the submount 102 via conductive contact 104 (fig. 1 and 2)."

It is respectfully submitted that "conductive vias" are structures that are well known in the art. Applicants direct the Examiner's attention to reference numerals 84, 86, and 88 of Figure 5 in the present application for an exemplary illustration of conductive vias. However, reference items 221 and 226 in Figure 2 of Chun et al. are not vias, as stated by the Examiner. According to the specification of Chun et al., these items are merely "slots and grooves" respectively. In fact, neither Chun et al. or Okazaki et al. ever mention "vias." Since Chun et al. nor Okazaki et al. ever mention "vias", neither one can possibly teach conductive vias that pass through the body of a submount or semiconductor elements electrically connected to conductive vias, as required by claim 1.

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Claim 13 is patentable over the cited references because claim 13 recites a combination of elements including, for example, "a submount comprised of a lower portion, a conductive trace on the lower portion, an upper portion over the lower portion, and a plurality of conductive traces on the upper portion, wherein the lower portion and the conductive trace on the lower portion extend beyond the upper portion to define a mounting surface." Neither Chun et al. nor Okazaki et al., singly or in combination, teach or suggest these features.

In his Final Office Action, the Examiner rejected claim 13 because <u>Chun et al.</u> allegedly teaches "[a] submount 202 comprised of a lower portion (fig. 2), a conductive trace 213 on the lower portion, an upper portion over the lower portion, and a plurality of conductive traces 223 on the upper portion, where the lower portion and the conductive trace on the lower portion extend beyond the upper portion (to reach the IC chip 207 and 208) to define a mounting surface of submount 202." However, in the interview held on February 2, 2004, the Examiner agreed that "[s]ub-mount 202 does not show the conductive traces on the lower portion and the lower portion does not extended beyond the upper portion." Please refer to the Interview Summary, a copy of which is attached hereto. Likewise, <u>Okazaki et al.</u> does not teach or suggest a submount comprising the features set forth in claim 13. Thus, the combined teaching of <u>Chun et al.</u> and <u>Okazaki et al.</u> cannot possibly render claim 13 obvious.

For at least the reasons set forth above, Applicants contend that independent claims 1 and 13, as well as claims 2-12 and 14-22, which variously depend therefrom, are patentable over Chun et al. in view of Okazaki et al. Consequently, the Applicants request that the Examiner withdraw the rejection of claims 1-22 under 35 U.S.C. § 103(a).

In view of the Applicants preceding remarks, Applicants respectfully request that the examiner issue a new, non-final office action, or pass the present application on to issue. If the Examiner deems that a telephone conference would further the prosecution of this application, the Examiner is invited to call the undersigned attorney at the telephone number (202) 496-7500. All correspondence should continue to be sent to the below-listed address.

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If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time, or any other fees required to complete the filing of this response, may be charged to Deposit Account No. 01-1125. Please credit any overpayment to deposit Account No. 01-1125.

Dated: February 23, 2004

Respectfully submitted,

∧ Song/K. Jung

Registration No. 1/35,210

MØKENNA LONG & ALDRIDGE LLP

1900 K Street, N.W. Washington, DC 20006

(202) 406 7500

(202) 496-7500

Attorneys for Applicants